

ALMA MATER STUDIORUM Università di Bologna



'Cold plasma application for food stability and functionality'

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Motivation for emerging technologies in the food sector

- New products /consumer expectation
- Quality
 - ➤ Health benefits
 - Sensory attributes
- Food safety
- Economic advantage
 - ➤ cost (energy)
 - ➤ time
 - ➤ efficiency
- Waste reduction/reuse, sustainability





Application of cold plasma in the food sector

Presentation structure:

- Research on Cold Plasma in the Food Sector
- Main applications:
 - Food decontamination
 - microrganisms
 - viruses
 - mycotoxins
 - pesticides
 - allergens
 - Modification of enzymatic activity
 - Functionalization of food components (proteins, starches)
- Secondary effects: effects on quality and nutritional properties, lipid oxidation
- Legislative aspects



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Source: Scopus 738 bibliographic records (after pre-processing)





Virtual Mobility Grant **Plasma effect on safety and quality of foods** 08/08/2023 to 20/10/2023





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Emerging applications





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Hypothesis on the mechanism of microbial inactivation of plasma









Mechanisms of cold plasma generated reactive species with respect to complexity of microbiological challenges



Biofilm Liquid system Nutrient rich system Structural protection

Extracellular challenges

against reactive species





DNA damage

| | | 1 | 0 | 0 | | | | |
|-------------|-------------|-------------|-------------|-------------|-------------|----------------|----|----|
| A I T | G I C | C I G | T I A | A I T | G I C | C 8-0× G | 10 | 60 |





DNA



ww

DNA Protein Protein

Bourke, P., Ziuzina, D., Han, L., Cullen, P. J., & Gilmore, B. F. (2017).

Studies on the mechanisms of microbial inactivation of plasma

Use of model systems

- useful aids for exploring efficient process parameters and efficacy limits for a single plasma device to be tested
- allow for homogeneous treatments and convenient extraction and provide reproducible results;
- As a simplified model system, large numbers of samples can be analyzed in the absence of drying stress, competing indigenous microflora or other inhibitory factors
- Generally consisting of a polysaccharide gel plate which contains some of the food ingredients, with a suitable pH at aw



ATTENTION: THE RESULTS ON REAL MATRICES COULD BE VERY DIFFERENT!! MATRIX EFFECT



Plasma treatments for the decontamination of fresh-cut melon 8 yeasts Shelf-life study



| Microbial | Treatment time | Shelf-life | |
|---------------|----------------|------------|--|
| group | (min) | (giorni)** | |
| Mesophiles | Control | 2.3 | |
| | 15+15 min | >4 | |
| | 30+30 min | 2.9 | |
| Psycrothrophe | es Control | 2.9 | |
| | 15+15 min | >4 | |
| | 30+30 min | 2.6 | |

**Time necessary to attain a cell count of 6 Log CFU g⁻¹, calculated using the predicted Gompertz parameters.

Microflora naturally present on the fruit pulp



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Tappi et al., 2016

Cold plasma treatment to increase the shelf life of sea bream fillets FUTURE EUAQUA

Seabreams from Kefalonia



PLASMA TREATMENT



PACKAGING AND STORAGE



• Polypropilene (PP) trays and film Modified Atmosphere (MAP) 80% N₂ e 20% CO₂ • Refrigerated storage (4±1°) for 14 days







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Cold plasma treatment to increase the shelf life of sea bream fillets

Inactivation of degradative and pathogenic bacteria



Tappi, S., Nissen, L., Casciano, F., Antonelli, G., Chiarello, E., Picone, G., ... & Rocculi, P. (2023).





3.78

3.91

4.84

5.56

5.80

5.87

5.94

| 7.29 | 6.62 |
|----------|---|
| Increase | of 4 |
| days! | ALMA MATER STUDIORU UNIVERSITÀ DI BOLOGN |

3.50

3.88

5.07

5.10

6.36

6.78

6.93

12

13

14

Gaseous plasma treatment on shredded carrots and sliced apples inoculated with Arcobacter spp. or E. coli



Arcobacter spp.: Campylobacteriaceae family emerging animal and human pathogen, underestimated global prevalence most likely source of Arcobacter spp. to fruits and vegetables include irrigation water and contaminated soil



1-cm sliced apple

Shredded carrots

Prototype: PASS Surface Dielectric Barrier Discharge (SDBD) Treatment times: 2, 5, 10, 20, 30 minutes Gas regime: NOx





Teasted strains

E.coli 555

Arcobacter spp. (BZs 206) – strain 12 Arcobacter spp. (BZG 74) - strain 28





Gaseous plasma treatment on shredded carrots and sliced apples inoculated with Arcobacter spp. or E. coli



(Unpublished data)



Shredded carrots inoculated with Arcobacter spp. BZS206 (strain12)



Shredded carrots inoculated with *Arcobacter* spp. BZS74 (strain 28)



apples inoculated with *E.coli* ab 555 ab ab b b b b control 5 min 10 min 20 min 30 min

reduced by < 2.5 Log after 30 min



reduced by < 2 Log after 20 min



reduced after 5 min, reduction under LOD after 10, 20 and 30 min

reduction of approx. 1.5 Log after 30 min reduced after 5 min of treatment (1 Log), max reduction after 30 min

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Parameters influencing plasma treatment decontamination efficacy



Necessary to 'tailor' the treatment to each specific situation, considering all variables!

Bourke, P., Ziuzina, D., Han, L., Cullen, P. J., & Gilmore, B. F. (2017). Microbiological interactions with cold plasma. *Journal of applied microbiology*, 123(2), 308-324.



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Foodborne viruses

Although viruses, unlike bacteria, cannot grow in or on foods, foodborne illnesses are associated with viruses due to contamination of fresh produce or processed foods by fecal matter containing viruses.





- Norovirus,
- hepatitis A and E virus,
- rotavirus and
- astrovirus.



Characteristics: highly infectious nature and survival in drastic conditions such as high acid pH and low temperatures



Structural elements of viruses

A complete virus particle, known as a *virion*, consists of **nucleic acid** surrounded by a protective coat of protein called a **capsid**. These are formed from protein subunits called capsomeres. Viruses can have a **lipid "envelope"** derived from the host cell membrane.

Some of the morphological types:

- 1. Helical
- 2. Icosahedrical
- 3. Enveloped (SARS-CoV-2)
- 4. Complex





Plasma viral inactivation

Modes of virus inactivation

- Changes in lipid components from the envelope
- Degradation of the capsid proteins, which precedes the degradation of nucleic acids
- Disruption of virus integrity at both the structural and genomic levels by affecting both proteins and nucleic acids

Filipić, A., Gutierrez-Aguirre, I., Primc, G., Mozetič, M., & Dobnik, D. (2020).

- (A) Morphologically different viruses treated with CP
- (B) Close-up of CP properties responsible for virus inactivation
- (C) Residual virus particles and nucleic acids after CP treatment,





Plasma treatments for the decontamination of viruses

Lacombe et al. (2017)

Inoculus of Tulane virus and Norovirus on bluberries

plasma jet pulse frequency: 47 kHz power consumption: 549W.















15 sec (30.1 °C)

30 sec (34.2 °C)



45 sec (39.0 °C)







60 sec (40.4 °C)

90 sec (44.4 °C) 120 sec (46.6 °C)





Proposed mechanism:

ozone and ROS inactivate viruses first damaging the polypeptide chains causing a perforation in the viral capsid



Plasma treatments for the decontamination of viruses



NOROVIRUS (MNV – strain MNV-1 3.5 log TCID₅₀/ml)

Artificial inoculum



Strawberries



HEPATITIS A VIRUS (HAV – strain HM 175 3.6 log TCID₅₀/ml)







Surface Dielectric Barrier Discharge (SDBD),

4 rectangular high-voltage electrodes (115 cm² each) Mica dielectric layer over 2 mm thick

Sinusoidal waveform, 6 kV, 23 kHz.

NOx-mode=332,9 W up to 30 min





Plasma treatments for the decontamination of viruses





HEPATITIS A VIRUS









(Unpublished data)

Reduction below the detection level (20 TCID50/ml) was achieved corresponding to 1.2 log for MNV and to 2.3 log for HAV



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Plasma treatments for the decontamination of mycotoxins

Many species of filamentous fungi have the ability to produce **toxic secondary metabolites** known as mycotoxins. The term mycotoxins is used only for toxic substances produced by fungi related to **food and feed products**; it does not include toxins produced by mushrooms

Today about **400 structurally different mycotoxins** have been discovered divided into the following main groups:

- (i) aflatoxins produced by Aspergillus species and ochratoxins produced by both Aspergillus and Penicillium species;
- (ii) trichothecenes, zearalenone and fumonisins produced by Fusarium species;

(iii) and ergot alkaloids, produced by Claviceps and other species



In favorable environmental conditions the Aspergillus spores germinate and subsequently colonize various types of foods, such as grains, corn, peanuts and other oil seeds.







High levels of aflatoxins induce acute hepatic necrosis, liver carcinoma, and are classified as mutagenic and carcinogenic, being able to intercalate with DNA and being able to alkylate its bases through its more oxidized metabolites.



Overview of currently available mycotoxin prevention and decontamination measures taken before and after contamination of food by fungi and mycotoxins.





Approach 2: chemical degradation of mycotoxins

Structure modification during plasma treatment: related to the presence of UV photons, ozone or reactive ions and electrons.

Possible significant contributions of different reactive species or to the synergistic action of these species → the effectiveness of mycotoxin degradation of cold plasma technology is greater than that of ozone or UV treatments only

Approach 1: inactivation of fungal species

Various mechanisms related to structural and metabolic changes



Plasma treatments for the decontamination of mycotoxins

Reduction of 97 and 99% in the growth of Aspergillus parasiticus and Aspergillus flavus.

40 W 15 min and 60 W 12 min plasma treated samples: more than 70% and 90% reduction in aflatoxin B1





Reduction of 3-log for both Aspergillus spp. and Penicillum spp. 15 min of SF6 plasma on dry legumes

Devi et al. 2017

Complete inactivation of aflatoxin B1 (AFB1), deoxynivalenol (DON) and nivalenol (NIV) with a mocorwave plasma using Argon at atmospheric pressure

Park et al. 2007





Degradation of aflatoxin in contaminated corn kernels subjected to atmospheric pressure cold plasma DBD working a t90 kV, discharge gap 44 mm Shi et al (2017)



Selcuk et al. 2015

Degradation of mycotoxins in pistachio kernels



- Surface Dielectric Barrier Discharge
 (SDBD)
- sinusoidal waveform,
- peak voltage: 6 kV
- fixed frequency: 23 kHz

NOx regime: duty cycle equal to 100% (425.35 ± 25.79 W), **O3 regime**: duty cycle equal to 10% (42.54 ± 2.58 W)

Exposure time: 15, 30, 60 min Distance from source: 4 cm, 20 cm











Laika, J., Viteritti, E., Molina-Hernandez, J. B., Sergi, M., Neri, L., Laurita, R., Tappi, S., Ricci, A. & Chaves-López, C. (2024).



Degradation of mycotoxins in pistachio kernels

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Degradation of mycotoxins in pistachio kernels Effect of distance from source





AFB2







■ 20 cm ■ 4 cm

■ 20 cm ■ 4 cm

■20 cm ■4 cm

Plasma treatments for the decontamination of mycotoxins



investigated in other cell assays or in vivo to fully confirm CAPP safety, especially in produce destined for human consumption.

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Enzymes

Enzymes are **protein** molecules that are present in all living things.

They speed up and target chemical reactions, in many cases increasing the rate of reaction millions of times.For example, they aid digestion, metabolise and eliminate waste in humans and animals, and play a crucial role in muscle contraction.



Enzymes in food



Enzymes can be **favourably exploited** by the food industry.

However, the **residual activity** of many enzymes is **detrimental to the quality** of foods, resulting in effects such as **browning**, **off-flavour** and **loss of vitamins**.

In certain cases, validation of processing conditions can only be achieved when the critical parameters of plasma processes assure inactivation of not only micro-organisms but also enzymes



Examples of degradative enzymes

Polyphenol oxidase (PPO; also polyphenol oxidase i, chloroplastic),

an enzyme involved in fruit browning, PPO catalyses the rapid polymerization of o-quinones to produce black, brown or red pigments (polyphenols).





Peroxidase is a common, heat stable enzyme that is found in plants and plant products, including fruits, vegetables and grains. There is a direct relationship between the peroxidase activity and the development of off-flavours, off-odours and offcolours in food



Pectinesterase is a ubiquitous cell-wall-associated enzyme that presents several isoforms that facilitate plant cell wall modification and subsequent breakdown.





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Hypothesis on the enzymatic inactivation mechanism

(Misra et al., 2016)

hydroxyl radicals (OH), superoxide anionic radicals (O2), hydroperoxy radicals (HOO) and nitric oxide (NO) → chemical modifications of the most reactive amino acids in the side chains of the protein (cysteine, aromatic rings of phenylalanine, tyrosine and tryptophan) → alteration of the structure → loss of enzymatic activity

(Takai et al., 2012)

decomposition of C-H, C-N and N-H bonds in proteins resulting from oxidation by reactive oxygen-containing species \Rightarrow loss of structure β (Misra et al. 2016)





Inhibition of enzymatic browning



Inhibition of enzymatic activity in fruit



Role of the matrix on enzymatic inactivation

Laika, J., Sacchetti, G., Sabatucci, A., Molina-Hernandez, J. B., Ricci, A., Laurita, R., ... & Neri, L. (2023).

effect of different CAP exposures on the activity of **horseradish peroxidase (HRP)**

- phosphate buffer and in model systems
- with different concentrations of monosaccharides and disaccharides

<u>mono and disaccharides</u>: naturally found in fruits and vegetables and widely employed in food and beverage industries

diverse protective effects of sugars on HRP

- ability of sugars, and especially of disaccharides, to scavenge free radicals with a concentration-dependent effect
- prevent denaturation phenomena through the suppression of perturbation of the hydration structure of the protein

HRP inactivation kinetic in PBS system and sugar model systems.



Enhancement of enzymatic activity

Reactive species (ROS and/or RNS) can be generated and interact with the surfaces of foods. In this condition, a **physiological response** occurs.

A **complex antioxidant enzymatic system** converts these reactive species into less harmful compounds for vegetable cells.

significant increase in the activity and genes expression of

- ascorbate peroxidase,
- Catalase (CAT) , and
- superoxide dismutase (SOD)
- phenylalanine ammoniumlyase (PAL)

during storage of different fruits treated with plasma



activation of the physiological antioxidant system



Increased seed germination

Cold atmospheric plasma treatment for 1 min showed a strongly improved chickpea seed germination (89.2%) and speed of germination (Mitra et al., 2014)

Cold plasma enhance the seed germination and seedling growth (Bormashenko et al., 2012, Sera et al., 2008, Zhou et al., 2011)

Plasma enhanced the seed germination and seedling growth rates which might be associated with the <u>increased water uptake</u> of seeds (Ling et al., 2014)

The plasma treatment can increase <u>the roughness of the seed surface</u>, and finally lead to <u>increase in the hydrophilicity of the seed</u> by changing the chemical structure, which may account for the <u>increase in water uptake</u> of seed (Bormashenko et al., 2012)









(C)

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Effect of cold plasma on food quality





Quality of foods:

- Organoleptic properties
- Nutritional value
- Techno-functional properties
- Physico-chemical properties







Effect of plasma on colour of food products

PAW immersion







Significant changes in colour coordinates

Perceptible by human senses?

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Effect of plasma on visual quality of food products



- Surface Dielectric Barrier Discharge (SDBD)
- sinusoidal waveform,
- peak voltage: 6 kV
- fixed frequency: 23 kHz

NOx regime: duty cycle equal to 100% (425.35 ± 25.79 W), O3 regime: duty cycle equal to 10% (42.54 ± 2.58 W) Distance from source: 20 cm



60 min of O₃ treatment



Untreated sample



60 min of Nox treatment



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Effect on visual quality of baby leaves treated with PAW during storage

Appearances of salads treated and untreated during storage period (4 °C until day 4, and 15 °C until day 12)



This project has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 101000852

(Unpublished data)



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Bioactive compounds in foods





Challenge: improve products shelf life preserving of bioactive compounds

Many compounds are sensitive to oxidation

TREATMENT OPTIMIZATION



Bioactive compounds in kiwifruit treated with DBD plasma



Kiwifruit slices subjected to 20 + 20 min DBD gas plasma treatment and control ones after 4 days of storage in controlled conditions.

Ramazzina et al., 2015









Bioactive compounds in rocket treated with PAW



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150 W (bottom)

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Effect on Lipid oxidation



Effects of lipid oxidation in foods:

- Modification of colour
- Development of off-odours (rancid)
- Decrease in nutritional value
- Formation of toxic compounds



Plasma process (without optimization) (withou COLD PLASMA IS COMPOSED BY HIGHLY OXIDATIVE SPECIES → INDUCTION OF LIPID OXIDATION IS EXPECTED



The mechanisms of plasma induced oxidation for oleic acid (Sarangapani et al., 2017).





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Novel Foods Legislation

Established to demonstrate to the consumer that foods that had not been consumed to a significant degree within the European Union prior to 1997, had undergone a stringent and independent safety assessment (labelled to not mislead)



Examples: chia seeds, oil from Buglossoides arvensis, rapeseed protein, coriander seed oil







Novel Foods Legislation

https://ec.europa.eu/food/safety/novel-food_en

'Novel Food' can be newly developed, innovative food, **food produced using new technologies** and production processes, as well as food which is or has been traditionally eaten outside of the EU.

The underlying principles underpinning Novel Food in the European Union are that Novel Foods must be:

•Safe for consumers

•Properly **labelled**, so as not to mislead consumers

•If novel food is intended to replace another food, it must not differ in a way that the consumption of the Novel Food would be **nutritionally disadvantageous** for the consumer.

Pre-market authorisation of Novel Foods on the basis of an evaluation in line with the above principles is necessary.

...Foods resulting from a production process not used for food production within the Union before 15 May 1997, which gives rise to significant changes in the composition or structure of a food, affecting its nutritional value, metabolism or level of undesirable substances



First step: 'scientific assessment prior to authorisation to ensure their safety'. Performed by the European Food Safety Authority (EFSA)

The EFSA convenes an <u>expert scientific panel</u>, collects <u>relevant information</u> and then develops an <u>expert report</u> on the benefits and risks of the technology resulting from a 'novel food' designation. Those novel food applications that receive 'authorisation' can then be sold in the EU.

The 'authorisation' sets out the <u>conditions for the novel food use, their designation as a</u> <u>food/food ingredient and labeling requirements</u>.

Among the <u>novel food ingredient approvals</u>, only a small percentage involve **new technology**:

UV (technology) treatment of mushrooms, bread, baker's yeast, and milk HPP for fruit preparations



Where are we on Plasma Processing?

Currently, **uncertainty** remains in the EC regulatory approval process for cold plasma technologies due to the lack of definition within the evaluation criteria (e.g., '<u>risk to public health</u>', '<u>nutritionally</u> <u>disadvantageous</u>' and '<u>not misleading to the consumer</u>') (Bourke et al., 2018).

Does cold plasma treatment lead to residuals that are harmful to health ? In depth investigations of the safety of plasma for food applications remain lacking. Different results highlighted:

- the complexity resulting from the range of diverse plasma devices, treatment regimens, and target substances;
- the need for a better understanding of the effect of plasmatreated substances, studies focused more directly on foodrelated toxicity are needed;
- need for evaluations of the persistence of cytotoxic effect in food overtime, their concentration and their oral toxicity;
- Need to address and contextualize **potential of adverse effects** resulting from plasma-induced changes to food constituents



Need to evaluate consumer acceptance!!

Take home messagge

Many promising applications for food stabilization and innovation But

Processing optimization is necessary based on the characteristics of the raw material!!



Concluding remarks

Most studied applications:

Microbial/viral/mycotoxins inactivation

Newer trends:

- Functionalization of components (foods and packaging)
- Enhancement of drying

Need for:

In depth safety assessment → Novel food regulation



Plasma applications for smart and sustainable agriculture – PlAgri

https://plagri.eu/

The scientific community is making a multidisciplinary effort to make plasma applicable also for direct food processing!



Our researches on cold plasma

 TECH4PATH – PRIN 2022 Non-thermal TECHnologies FOR the inactivation of emerging viral, bacterial and protozoan PATHogens on fruit and vegetable products

OBJECTIVE: Optimize non thermal processing for the inactivation of emerging pathogens and evaluate the effect on quality and environmental impact

 PLASMAFOOD – PRIN 2017 Study and optimization of cold atmospheric plasma treatment for food safety and quality improvement

OBJECTIVE: Learn more about the effects of cold plasma on various aspects (microbiological, qualitative, chemical and nutritional)

• PASS – EIT "Plasma assisted sanitation systems" for the inactivation of SARS-CoV-2 on food contact materials

OBJECTIVE : use plasma as a strategy to inactivate the virus on food packaging materials

 FuturEUAqua Future growth in sustainable, resilient and climate friendly organic and conventional European aquaculture. Horizon 2020 Call: H2020-BG-2018-2020

OBJECTIVE : Apply cold plasma to increase the shelf life of seafood







Plasma Assisted Sanitation System



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Research Group for Food Technology



DEPARTMENT OF AGRICULTURAL AND FOOD SCIENCES





















Research Group for Industrial Applications of Plasmas (IAP Group)

DEPARTMENT

INDUSTRIAL ENGINEERING













IPCS









PLASTHER THERAPEUTICAL APPLICATIONS OF COLD PLASMAS



CA19110 Plasma applications for smart and sustainable agriculture



International Plasma Chemistry Society

Società Italiana Biomateriali



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ADVANCED APPLICATIONS IN MECHANICAL Engineering and materials technology


Thank you!!

Questions?

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Bibliographic references







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